

**IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Edward N. Ignatoff, Ziya A. Aral, Roni Putra and Nicholas C. Connolly

APPLICATION NO.: 10/035,790

FILING DATE: December 24, 2001

TITLE: SCSI Transport Protocol Via TCP/IP Using Existing Network Hardware and Software

EXAMINER: Ayaz R. Sheikh

GROUP ART UNIT: 2155

ATTY. DKT. NO.: 61628-05665

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313, on the date shown below:

Dated: 2/25/04

By: Dorian Cartwright  
Dorian Cartwright

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313

**STATEMENT OF ASSIGNEE CONSENTING TO CHANGE OF INVENTORSHIP  
UNDER 37 C.F.R. §1.48(a)**

SIR:

The Assignee for the above-referenced patent, DataCore Software Corporation, declares that:

1. It is the assignee of the entire right, title and interest in the patent identified above by virtue of a first assignment from the originally named inventors of the patent, Edward N. Ignatoff, Ziya A. Aral, and Roni Putra; which was recorded in the Patent and Trademark Office at Reel 012805, Frame 0975, on April 10, 2002 and a second Assignment from Nicholas C. Connolly. Copies of the Assignments are attached hereto.

3. The Assignee for the above-referenced patent identified above agrees to the change of inventorship.

4. The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

Dated: February 20, 2004

Signed By: Carolyn J. Curran

Name (type or print): CAROLYN A. CURRAN

Title: Secretary

0001/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office		Application Number	10/035,790
<b>TRANSMITTAL FORM</b> (to be used for all correspondence during pendency of filed application)				Filing Date	December 24, 2001
				First Named Inventor	Edward N. Ignatoff
				Group Art Unit Number	2155
				Examiner Name	Frantz B. Jean
Total Number of Pages In This Submission		13	Attorney Docket Number		61628-05665

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input checked="" type="checkbox"/> Declaration/Power of Attorney <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request for Corrected Filing Receipt  <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Second Request to Correct Inventorship in a Nonprovisional Patent Application under 37 C.F.R. §1.48(a) <input checked="" type="checkbox"/> Statement Of Assignee Consenting To Change Of Inventorship Under 37 C.F.R. §1.48(a) <input checked="" type="checkbox"/> Copy of Statement Of Nicholas C. Connolly In Support Of Request To Correct Inventorship <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT			
Signature:	<i>Dorian Cartwright</i>		
Attorney/Reg. No.:	Dorian Cartwright, Reg. No. 53,853	Dated:	February 25, 2004

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:	<i>Dorian Cartwright</i>		
Typed or Printed Name:	Dorian Cartwright	Dated:	February 25, 2004
Express Mail Mailing Number (optional):			

61628/05665/DOCS/1414873.1

# FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$130.00)

## Complete if Known

Application Number	10/035,790
Filing Date	December 24, 2001
First Named Inventor	Edward N. Ignatoff
Examiner Name	Frantz B. Jean
Art Unit	2155
Attorney Docket No.	61628-05665

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account:

Deposit Account Number

19-2555

Deposit Account Name

Fenwick &amp; West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$0)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent	-3** =	X	=

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (3) (\$130)

## SUBMITTED BY

Name (Print/Type)	Dorian Cartwright	Registration No. (Attorney/Agent)	53,853	Complete (if applicable)
Signature	<i>Dorian Cartwright</i>	Date	February 25, 2004	Telephone (650) 335-7247

61628/05665/DOCS/1414877.1

**COPY****FEE TRANSMITTAL  
for FY 2004**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 130.00**Complete if Known**

Application Number	10/035,790
Filing Date	December 24, 2001
First Named Inventor	Edward N. Ignatoff
Examiner Name	Frantz B. Jean
Art Unit	2155
Attorney Docket No.	61628-05665

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account:

Deposit Account Number

19-2555

Deposit Account Name

Fenwick &amp; West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

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Large Entity Small Entity

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**SUBTOTAL (2)** (\$ 0

\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
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Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ 130**SUBMITTED BY**

Name (Print/Type)

Dorian Cartwright

Registration No.  
(Attorney/Agent)

53,853

Complete (if applicable)

Telephone (650) 335-7247

Signature

Dorian Cartwright

Date

February 25, 2004

61628/05665/DOCS/1414877.1

Date Mailed: February 25, 2004 | Atty/Sec: D' | Filing Date: December 24, 2001  
Application No.: 10/035,790 | Docket No.: 61628-05665  
Applicants: Edward N. Ignatoff et al.

Title: SCSI Transport Protocol Via TCP/IP Using Existing Network Hardware And Software

Please imprint Patent Office "date stamp" hereon to indicate receipt and return card to addressee.

- |  |  |
|--|--|
| <input type="checkbox"/> ___ pages of Specification, Claims & Abstract | <input checked="" type="checkbox"/> Second Request for Correct Inventorship in a Nonprovisional Patent Application §1.48 (a) |
| <input type="checkbox"/> ___ sheets of formal drawings                 | <input type="checkbox"/> Response to Notice Of Missing Parts   |
| <input type="checkbox"/> Provisional Application Cover Sheet           | <input type="checkbox"/> Request to Correct Filing Receipt   |
| <input type="checkbox"/> New Utility Application Transmittal           | <input checked="" type="checkbox"/> Copy of Statement Of Nicholas C. Connolly In Support Of Request To Correct Inventorship  |
| <input checked="" type="checkbox"/> Transmittal                        | <input type="checkbox"/> IDS, PTO/SB/08A, and cited references   |
| <input checked="" type="checkbox"/> Fee Transmittal (in duplicate)     | <input checked="" type="checkbox"/> Statement of Assignee Consenting to Change of Inventorship §1.48(a)                      |
| <input checked="" type="checkbox"/> Declaration/Power of Attorney      | <input type="checkbox"/> Letter to Chief Draftsperson  |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet          | <input type="checkbox"/> Formal Drawings: ___ sheets   |
| <input type="checkbox"/> Power of Attorney                             | <input type="checkbox"/> Request for Certificate of Correction   |
| <input type="checkbox"/> CPA Request Transmittal                       | <input type="checkbox"/> Notice of Appeal  |
| <input type="checkbox"/> Application Data Sheet                        |  |
| <input checked="" type="checkbox"/> Checks in the amount of \$130.00   |  |

Other \_\_\_\_\_

61628/05665/DOCS/1414880.1

TOTAL P.16